

# ORD FY 2017 Risk Assessment

## Background

All agency offices, including ORD, have been asked to conduct enterprise level risk assessments designed to better understand risks to each office's ability to fulfill their obligations under the new Strategic Plan. ORD is the lead for Objective 3.2: Prioritize Robust Science, and is required to submit details regarding its top three risks towards completing this objective.

OPARM has collected risk assessments from all NPDs and LCOs and has collected the data into a summary document and a more detailed spreadsheet. The summary document contains information sufficient to understand the ORD Risk Assessment, while the detailed spreadsheet contains information at a greater level of detail for supplemental analysis.

***The ORD Risk Assessment Spreadsheet (attached) is due to OCFO by Wednesday August 2***

## Recommendations

OPARM proposes ORD reports the three following risks:

Category	Description
<b>Sustainable Workforce</b>	ORD's ability to fulfill its mission is dependent on its ability to sustain its workforce. This includes maintaining adequate staff levels, retaining world class scientists and experts (through Title 42), and attracting highly qualified recruits.
<b>Finance and Resource Management</b>	Reduced budget levels and overall resource uncertainty risk ORD's ability to fulfill its mission. ORD's failure to properly manage resources, such as through the re-obligation of unliquidated funds or through award delays and funds expiration, also reduces its ability to maximize the use of the funds appropriated.
<b>Metrics and Evaluations</b>	Internal expert program reviews by the BOSC and external performance reporting processes are at risk of failing to adequately measure and demonstrate the quality and impacts of ORD research. This could reduce ORD's capacity to manage research programs and fully illustrate program performance.

## Next Steps

Date	Event
<b>August 2</b>	ORD submits Risk Assessment Spreadsheet to OCFO
<b>August 15 – 31</b>	OCFO collaborates with senior leadership to rank enterprise-level risks for the agency
<b>September 11</b>	OCFO develops Initial Risk Profile based on senior leadership enterprise risk ranking
<b>October</b>	Lead NPMs/Regions develop and begin to implement action plans to mitigate risks